



## Protocol

# A proposed study using psychophysiological biomarkers to evaluate the effectiveness of cat-cow yoga exercise to reduce chronic musculoskeletal low back pain

Syeda Farah Batool<sup>1,2</sup> , Shamoos Noshad<sup>2,3</sup>  & Sadaf Ahmed<sup>1,3</sup> 

<sup>1</sup>Department of Physiology, University of Karachi, Karachi-Pakistan.

<sup>2</sup>Department of Psychology, Malir University of Science & Technology, Karachi-Pakistan.

<sup>3</sup>Psychophysiology Research Lab, M.A.H.Q. Biological research center, University of Karachi, Karachi-Pakistan.



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**Corresponding Author Email:** farahbatool97@gmail.com

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## Abstract

**Background:** Low Back Pain (LBP) is a painful condition of the musculoskeletal system that affects the quality of life and causes disabilities that can cease or limit daily life activities. Around 85% of the population has encountered LBP at least once in their lives. Due to sustained or improper postures, the incidence rate of LBP is reportedly high amongst healthcare providers worldwide. The treatments to manage LBP are generally some Non-steroidal anti-inflammatory drugs (NSAIDs), which only give short-term relief and are seemingly ineffective after a particular time, so higher doses are needed. This study aims to test the Cat-Cow yoga posture to manage LBP in longer terms.

**Methodology:** It will be a uni-center randomized control trial, and the participants with musculoskeletal low back pain will be randomly allocated into two groups. Group 1 will receive the intervention, cat-cow yoga sessions, and Group 2 will get the general care guide. Altered levels of cortisol, substance P and beta-endorphins will be measured and compared at baseline and after completion of 12 weeks.

**Discussion:** A practical and cost-friendly intervention that can help Back pain sufferers to reduce their pain. This study will determine the efficacy of a useful and cost-effective yoga technique to overcome the psychophysiological manifestations of musculoskeletal CLBP.

## Keywords

Low Back Pain, Healthcare, Nurses, Substance P, Beta-endorphins.



## Introduction

Low back pain (LBP) is a dominant reason for musculoskeletal discomfort globally<sup>1</sup>. LBP is characterized as a symptom, but in some cases, it is implied as a pathological condition with unknown etiologies depending on its severity and duration<sup>2</sup>. Low back pain is considered 'Chronic' if it persists for three months or longer than three months. Chronic low back pain (CLBP) is responsible for disability, compromised life quality, and absenteeism from work worldwide<sup>3</sup>. Approximately 70% to 85% of adults have complained about the occurrence of such pain at least once in their life span. CLBP can cause a higher number of years lost to disability than that of other morbidities like cancers, respiratory syndromes, AIDs, accidents, and childbirth complications, and it is categorized as one of the ten most potential causes that contribute to illness and disability by Global Burden of Disease (GBD)<sup>4</sup>.

It is estimated that 37% of total LBP cases are due to strenuous physical work. Many occupations are said to be riskier for getting LBP<sup>5</sup>. Sitting is a widely identified risk factor of work-related low back pain. At the same time, other work demands such as the frequent lifting of heavy stuff, intense physical work, and improper postures are also potential risk factors for LBP. In healthcare sectors, nurses are more likely to suffer from injuries and work-related musculoskeletal illnesses, such as LBP, than other healthcare workers<sup>5,6</sup>. It is evident from prior studies that psychological, social, behavioral, and demographical factors are linked with the onset of LBP. A sedentary lifestyle, smoking, and age increase the risk of LBP. Nurses' ergonomic exposures, such as the extent of direct interaction with the patient, posture, need to lift objects or attendee, work-related stress, and job

satisfaction, put them at high risk of getting LBP<sup>6</sup>.

Along with the physical distress, LBP is also the reason for extended occupational leaves, and because of LBP, many healthcare professionals either switch their jobs or quit the profession<sup>7</sup>. The Prevalence of LBP in nurses is 71.85% in Asian countries<sup>8</sup>, 82.7% in southwest Nigeria, Africa<sup>9</sup>, 53.3% in Saudi Arabia<sup>10</sup>, and 69.5% in Iran<sup>11-13</sup>. In Pakistan, 65% of nurses are suffering from LBP in a study conducted in Lahore<sup>14</sup>.

There are various guidelines available for the diagnosis of non-specific low back pain. Its severity and intensity can be diagnosed by using different assessing tools or scales. Natural biological markers for pain could also be used as a diagnostic tool for the evaluation of LBP. Substance P (SP), Cortisol, and Beta Endorphins are supposed to be an effective sources of estimating pain intensity and its manifestations. Substance P is said to be involved in the underlying mechanism of intensifying the pain by increasing the inflammation and inflammatory markers<sup>15-17</sup>. On the contrary to this beta-endorphins, serves as an endogenous opioid and tend to relax the patient by increasing the availability of serotonin and dopamine in the synapse<sup>18,19</sup>. Kallman<sup>20</sup> has stated in his study that substance P and Beta Endorphins are not reliable pain regulatory markers in the patients' saliva of chronic neuropathic pain as according to their results, salivary substance P and beta-endorphins does not show much inclination<sup>20</sup>.

Specific guidelines were established by the US, UK, and other countries to treat LBP. These guidelines suggested different stages of treatment. Firstly, all guidelines emphasize that patients with non-specific LBP must learn to manage the pain independently and increase their physical



activities with manageable breaks. If the pain persists, as the second line of care, the US and Danish guidelines highly recommend non-pharmacological treatments such as cognitive behavioral therapy and physical exercises<sup>21</sup>. Yoga, aerobics, Tai Chi are among the highly endorsed non-pharmacological interventions to treat non-specific chronic LBP. The use of non-steroidal anti-inflammatory drugs (NSAIDs) and other medications to cure LBP is discouraged by physicians and scientists because of their side effects and low efficacy in reducing pain<sup>21,22</sup>.

In all the physical exercises, yoga appeared to be moderately effective in managing LBP. There are several postures of yoga that are practiced and recommended by physiotherapists and orthopedists. Still, there is very scarce data that proves the clinical significance and efficacy of yoga. A systemic review of many clinical trials suggests a moderate certainty about the potential of yoga in relieving pain<sup>23,24</sup>. This study aims to determine the effectiveness of yoga as a potential intervention in the management of LBP. Cat-cow yoga posture is selected for this purpose. There are two basic shapes your torso and spine can make: flexion and extension. In the simplest explanation, flexion is a rounded back, and extension is an arched back. Cat/Cow alternates between flexion Cat and extension Cow. This exercise will help to relax the muscles and will make them more flexible and mobile<sup>25</sup>.

## Methodology

### Study Design

It is a uni-center Randomized controlled trial. Subjects from diverse ethnicities, educational backgrounds, and different socioeconomic statuses are preferred for this study. Subjects will be included in the study if they meet the eligibility criteria and have

experienced low back pain in the last three months. Written informed consent will be obtained from each study subject after providing detailed information regarding the objectives of the study and its duration. Subjects will be randomly assigned to either control or interventional group. Outcome measures will be assessed at the baseline and after three months.

### Ethical Concerns

The study will be conducted under the declaration of Helsinki. All ethical protocols will be followed during the study, and the participants will obtain written consent. This study is consulted and approved by Ethical Committee with the approval number ERC/S20/P-001.

### Eligibility Criteria

#### *Inclusion Criteria*

Participants must meet all the following inclusion criteria to participate in this study.

1. Age between 25 to 45 years.
- Answer YES to the following questions
2. Have low back pain constantly or on most days for the last three months?
  3. Have you sought care from a health care provider due to back pain?

Following scales will be used to assess the participants before enrolling them for the study.

1. Average pain intensity will be assessed using the Numerical Pain Rating (NPR) over the past week  $\geq 2$  on a 0-10 numerical pain scale.
2. Roland Morris Disability Questionnaire score  $\geq 4$ <sup>26</sup>.
3. Fear Avoidance Beliefs Questionnaire (FABQ) work subscale score  $<19$ <sup>27</sup>.

#### *Exclusion Criteria*

To be eligible for the study, participants must not:

- Have a personal history of the following neurological disorders: Alzheimer's,



Amyotrophic Lateral Sclerosis, Multiple Sclerosis, Parkinson's, Stroke

- Have a personal history of the following cardiorespiratory disorders: Congestive heart failure, Heart attack in past 24 months
- Have a personal history of the following musculoskeletal disorders: Rheumatoid arthritis, Pathologic fractures of the spine, avascular necrosis or osteonecrosis, severe osteoarthritis. Including a history of spine surgery or a hip arthroplasty.
- Have active cancer.
- Be Blind.
- Have used narcotics or muscle relaxants within 30 days before study enrolment.
- Report being pregnant, lactating, or that they anticipate becoming pregnant in the next 3-6 months.
- Have a body mass index greater than 35 kg/m<sup>2</sup>.
- Have clinical depression (i.e., subjects who score 24 or higher on the Center for Epidemiology Depression Scale).
- Report unexplained weight loss over the past month (>10 lbs.).

## Interventions

| The Intervention Group  | The Control Group   |
|---|---|
| <ul style="list-style-type: none"> <li>• At baseline all measures will be recorded</li> <li>• Participants will practice Cat-Cow yoga posture</li> <li>• It will be a 40 min session five days a week for 12 weeks</li> <li>• Participants will do 10 minutes warm-up session followed by 30 minutes therapy session</li> <li>• At the end of 12 weeks outcome measures will be taken again and compared with the baseline readings.</li> </ul> | <ul style="list-style-type: none"> <li>• At baseline all measures will be recorded</li> <li>• Participants will be provided with a book "The Back Book" to do their self-care</li> <li>• This group will not participate in the session.</li> <li>• Rather they will follow the guidelines of the book to manage their pain.</li> <li>• At the end of 12 weeks outcome measures will be taken again and compared with the baseline readings.</li> </ul> |

## Study Procedure

**Enrolment:** Those subjects who had experienced musculoskeletal CLBP were enrolled.

**Assessment of eligibility:** Subject meeting eligibility criteria will be included in the study.

**Baseline assessment:** All the variables, i.e., Oswestry Low Back Pain Disability Questionnaire, World Health Organization Quality of life (WHOQOL) Questionnaire, Numerical Pain Rating Scale, Sadaf Stress Scale (SSS) will be measured at baseline.

### Scoring of Oswestry Scale:

- 0% to 20%: minimal disability: The patient can cope with most living activities. Usually, no treatment is indicated apart from advice on lifting, sitting, and exercise.
- 21%-40%: moderate disability: The patient experiences more pain and difficulty sitting, lifting, and standing. Travel and social life are more difficult, and they may be disabled from work. Personal care, sexual activity, and sleeping are not grossly affected, and the patient can usually be managed by conservative means.
- 41%-60%: severe disability: Pain remains the main problem in this group, but activities of daily living are affected. These patients require a detailed investigation.
- 61%-80%: crippled: Back pain impinges on all aspects of the patient's life. Positive intervention is required.
- 81%-100%: These patients are either bed-bound or exaggerating their symptoms<sup>28</sup>.

**Randomization:** Subjects based on eligibility criteria will be randomly allocated to the experimental or control group sequentially as they agree to participate.

**Allocation:** A booklet with detailed instructions will be provided to the study subjects according to the groups allocated.

**Follow-up assessment:** After three months, all the variables measured at the baseline phase will be measured again.



**Statistical Analysis:** Pre & post-analysis will be conducted in this phase.

### Expected Outcomes

At the end of this study and proper execution of the intervention, it is expected that,

- i. The subjects with musculoskeletal CLBP will overcome the pain and perform their daily tasks more efficiently.
- ii. Levels of substance P and cortisol will be reduced, which will help to break the pain cycle in the body.
- iii. Level of beta-endorphins will be elevated at the end of the session, which will make them relax and will help in further reduction of pain.

### Measures

- i. **Oswestry Low Back Pain Disability Questionnaire:** This index is considered a standard to assess the functionality of the low back. It is also a trusted tool for researchers and health care providers to evaluate the extent of disability<sup>29</sup>.
- ii. **Quality of life Questionnaire:** It is used to measure the quality of life by various means. It will help evaluate changes observed in the patients' quality of life and well-being before and after the intervention is given<sup>30</sup>.
- iii. **Numerical Pain Rating Scale:** To evaluate the intensity of pain.
- iv. **Roland Morris Disability Questionnaire:** This scale is used to assess mild to moderate disability in patients with acute, subacute, or chronic low back pain<sup>26</sup>.
- v. **Sadaf Stress Scale (SSS):** Sub-section of SSS (Physical Stress) will be used to assess the degree of stress and its contribution to CLBP<sup>31</sup>.
- vi. **Substance P:** It gets increased in the body in chronic pain, so it could be a good source of estimating the extent of relief from the pain before and after the intervention.

- vii. **Beta-Endorphins:** They work as opioids in the body and produce endogenous analgesia. Their elevated levels after the intervention will be a sign of pain relief.
- viii. **Cortisol:** It is a steroid hormone that tends to elevate during psychological or physical stress.

### Sample Size Calculation

The required sample size for the two study groups with  $\alpha = 0.05$  and  $(1 - \alpha) = 0.80$  was estimated to be 49 in each group, i.e., the total sample size was 98 for the two groups. The sample size calculator provided by the University of California, San Francisco (UCSF), Clinical and Translational Science Institute (CTSI) was used<sup>32</sup>.

### Randomization

Subjects based on eligibility criteria will be randomly allocated to the experimental or control group in the 1:1 ratio. Computer-generated random numbers will be used for randomization. After taking the subject's basic information, the study center will provide a unique code to each included subject. The code will be mentioned in each form of each subject.

### Sample Analysis

All the biomarkers (Substance P, Beta Endorphins, Cortisol) will be analyzed and processed through ELISA.

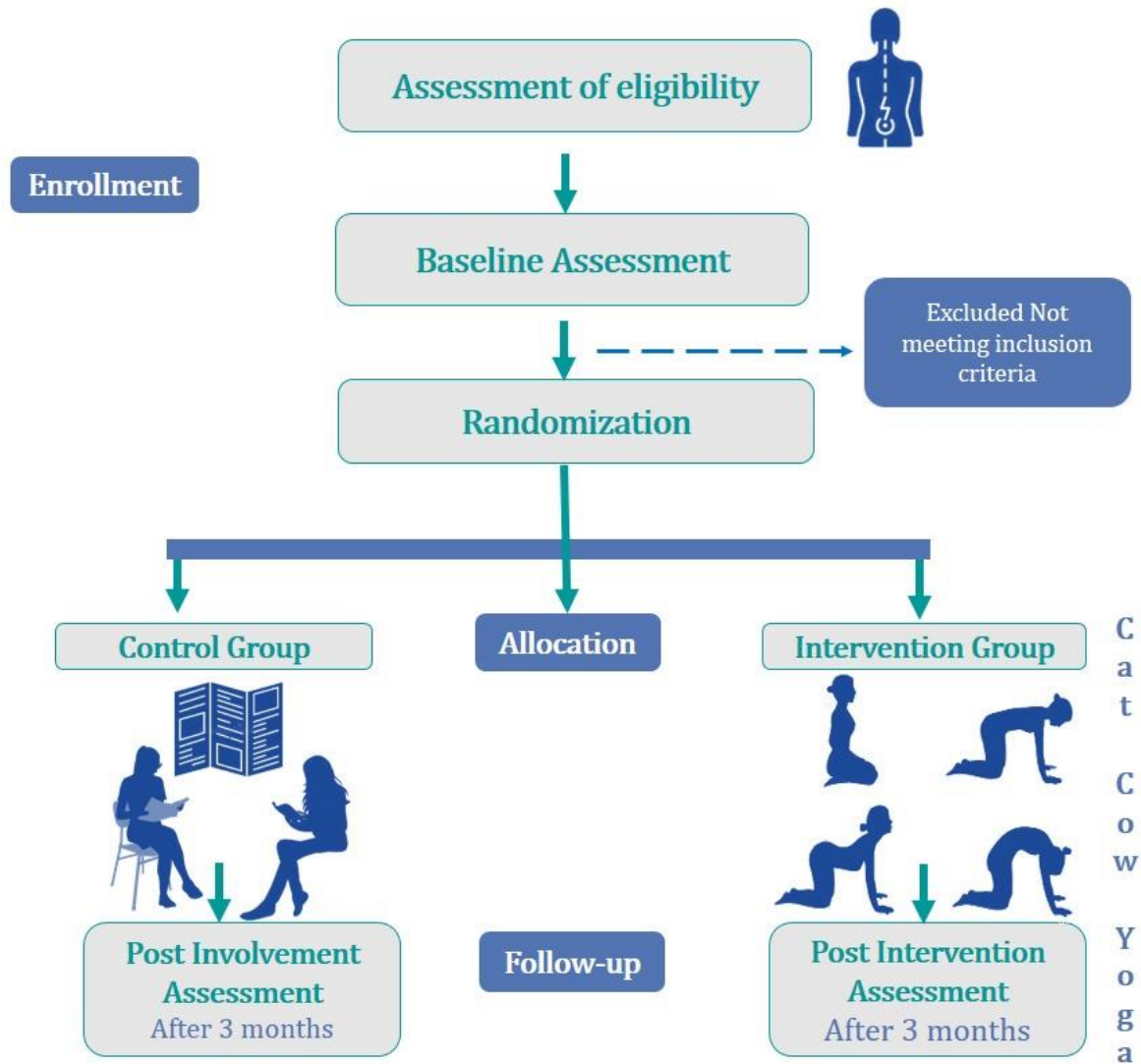
### Statistical Analysis

The data will be analyzed using  $2 \times 2$  mixed factorial design analysis of variance (ANOVA) to calculate whether there is a significant change in the cat-cow yoga therapy group among the intervention and control group subjects. After the intervention, if a higher ratio of cat-cow yoga therapy will be observed in the experimental group, the interventional impact of the five factors of cat-cow yoga therapy will be examined with further analysis. Sequentially for each secondary outcome, additional



ANOVAs will be used to investigate differences between groups at three months and baseline. Adjusted ANOVA will be performed, keeping socio-demographic and

other variables as co-variants to determine whether the socio-demographic and other characters could result in alterations in effect between the two groups.



**Figure 1: Flowchart of the study procedure**

## Discussion

The focus of research related to pain management is leaning towards all the interventions that could be used instead of pharmaceutical drugs and have the same efficacy and convenient for use. This study aims to find a correlation between psychophysiological biomarkers and Cat-Cow yoga posture in the management of

non-specific chronic low back pain. Substance P, beta endorphins, cortisol and stress levels are all going to be measured and monitored and on these basis efficacy of the intervention will be judged. Life-threatening events aside, people's economic statuses are subject to considerable effects. From purchasing high-cost medication to visiting healthcare advisors, this precarious situation



will prove to be an inconvenience to people. Thus, an intervention - both, maximizing practicality and budget-friendliness - is needed. The topic field of this study, therefore, will revolve around the efficacy of a convenient and cost-effective yoga technique, fashioned to mitigate psychophysiological manifestations of CLBP.

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